

SIA Investment Overview

Springsted Investment Advisors (SIA) is a wholly-owned subsidiary of Springsted Incorporated. Our skilled investment professionals are experienced in policy development, cash flow and security selection and investment of bond proceeds.

As an “independent” advisor, SIA provides objective advice to public sector and non-profit entities. Because SIA has no investment instruments we are objective in evaluating and procuring investments best suited for our clients.

SIA offers a full range of investment services:

Bond Proceeds Investment

SIA specializes in the investment of bond proceeds, ensuring compliance with arbitrage regulations and Municipal Advisor Rules. Bond proceeds have unique characteristics and require an investment that provides safety and ample liquidity, while striving to maximize investment earnings.

Competitive Investment Bid Process

A competitive bid process ensures that you are obtaining the fair market value for your investments and that you are selecting the most suitable investment among available options.

Escrow Defeasance Accounts for Advance Refundings

SIA staff structures defeasance escrows designed to offer a cost-effective approach to funding refunding escrows that meet all state and federal requirements.

Investment Policy Development

Investment decisions occur within defined parameters, safeguarding public funds. SIA can help clarify and formalize investment objectives and criteria within the framework of a simply-stated policy.

Cash Flow Analysis

Determining cash availability patterns can lead to maximizing return from under-invested funds and provide the ability to increase earnings through a well-constructed investment plan.

Investment Manager Selection

SIA’s selection methodology helps secure comprehensive responses from prospective cash managers and allows for objective analysis.

Commercial Bank Evaluation

SIA will analyze the list of banks you submit and review the investments and/or collateral posted to secure the deposits at those banks. We will review your depositories against your current policy requirements and provide information relative to their financial health.

Selection of Investment Vehicles

With so many choices, which investment vehicle is best suited for your needs? SIA’s straight-talk consultation will help you sort through the many available options and provide answers to all your questions.

SIA works solely on our clients’ behalf to develop and implement well- conceived and prudently executed investment programs.



Investment Advisory Services

Planning & Strategy

- Bond Proceeds Investment
- Cash Flow Forecasting Investment
- Investment Policy Development

Funding & Implementation

- Competitive Bidding
- Document Review
- Escrow Placement
- Investment Design
- Selection of Investment Providers
- Selection of Investment Vehicles

Managing & Performance

- Commercial Bank Evaluation
- Ongoing Support

Swap Advisory Services

- Document Review
- Market Valuations
- Policy Development

